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09/01/2004

NOVARTIS CORPORATE INTELLECTUAL PROPERTY ONE HEALTH PLAZA 430/2

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Sara Carter	(Depositor's name)
Sara Cali	(Signatore)
September 30, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/911 218	07/23/2001	Yongxing Oiu	CL/V-31563P1	4902

TITLE OF INVENTION: PROCESS FOR SURFACE MODIFYING SUBSTRATES AND MODIFIED SUBSTRATES RESULTING THEREFROM

APPLN. TYPE	SMALL ENTITY	ISSUE F	EE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1330)	\$300	\$1630	12/01/2004	
EXAM	4INER	ART UN	пт	CLASS-SUBCLASS]		
MICHENER, JENNIFER KOLB		1762		427-002240	· .		
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. 3 "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.				registered attorney or agent) and the names of up to			
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) NOVARTIS AG Base1, SWITZERLAND							
Please check the appropriate	e assignce category or catego	ories (will not be pr	inted on the p	patent): 🔲 Individual 🍱 C	orporation or other private gr	roup entity Government	
Part .	enclosed: small entity discount permitt f Copies	ed)	Paymen	Free(s): in the amount of the fee(s) is end to be credit card. Form PTO-2038 rector is hereby authorized by count Number	8 is attached.	credit any overpayment, to copy of this form).	
a. Applicant claims S	(from status indicated above	37 CFR 1.27.		cant is no longer claiming SMA			
The Director of the USPTO NOTE: The Issue Fee and P interest as shown by the rec	is requested to apply the Iss Publication Fee (if required) ords of the United States Pat	ue Fee and Publica will not be accepted ent and Trademark	tion Fee (if a d from anyon Office.	ny) or to re-apply any previously other than the applicant; a reg	ly paid issue fee to the applic istered attorney or agent; or t	ation identified above. he assignee or other party in	

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Jian Zhow

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Date September 30, 2004

Registration No.

41,422



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

N RE APPLICATION OF

EXAMINER: JENNIFER KOLB MICHENER

ART UNIT 1762

QIU ET AL.

APPLICATION NO: 09/911,218

FILED: July 23, 2001

FOR: PROCESS FOR SURFACE MODIFYING SUBSTRATES AND

MODIFIED SUBSTRATES RESULTING THEREFROM

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

CORRESPONDENCE ADDRESS INDICATION FORM

Sir:

In the above-identified application, please recognize the following address as the correspondence address:

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The undersigned agent is of record.

Respectfully submitted,

CIBA Vision Corporation Patent Department 11460 Johns Creek Parkway Duluth, GA 30097-1556

Agent for Applicant Reg. No. 41,422

Phone No. (678) 415-4691

Date: September 30, 2004